

NPAL Acoustic Noise Field Coherence and Broadband Full Field Processing

PI: Arthur B. Baggeroer
Massachusetts Institute of Technology
77 Massachusetts Avenue
Room 5-206
Cambridge, MA 02139
Phone: (617) 253-4336 Fax: (617) 253-2350 Email: abb@boreas.mit.edu

CoPI: Edward K. Scheer
Woods Hole Oceanographic Institution
Woods Hole, MA 02543
Phone: (508) 289 2823 Fax: (508) 457 2194 Email: escheer@whoi.edu

Award Number: N00014-04-1-0124

LONG-TERM GOALS

This effort concerns the forward and back scattering from seamount and ocean ridge structure including i) the blockage due to seamounts; ii) the up/down slope issues for converting from shallow to deep water propagations and *vice versa*; iii) 3D effects caused by horizontal refraction

OBJECTIVES

The immediate objectives involve analyzing the data from the SPICEX-LOAPEX-BASSEX cruises conducted between May 2005 and October 2005. Our efforts focus on the BASSEX experiment where recorded data on a towed array in the vicinity of the Kermit-Roosevelt seamounts and on the shoaling region north of Kauai.. Our objectives concern understanding the forward and backscattering from the seamounts and the up/down slope conversion mechanisms for a shoaling bathymetry

APPROACH

The approach used was to insonify the Kermit-Roosevelt seamount complex illustrated in Figure 1 using sources deployed by the SPICEX and LOAPEX sources. These seamounts shoal the most in the northeas Pacific and are located along the Mendocinco fracture zone as clearly indicated in the contouring on the left part of Figure 1. The northerly peak shoals to roughly 912 m and the southerky one to 1426 using the multibeam on the *R/V Revelle* during the experiment. The SPICEX sources S1 and S2 and insonify the seamounts from the southeast and south west directions at a range of roughly 500 km. There were two depths for thes sources. (We note that these depths differ from commonly used bathymetric charts by as much as 300 m.) The LOAPEX

Report Documentation Page			Form Approved OMB No. 0704-0188	
<p>Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</p>				
1. REPORT DATE 30 SEP 2005	2. REPORT TYPE	3. DATES COVERED 00-00-2005 to 00-00-2005		
4. TITLE AND SUBTITLE NPAL Acoustic Noise Field Coherence and Broadband Full Field Processing			5a. CONTRACT NUMBER	
			5b. GRANT NUMBER	
			5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)			5d. PROJECT NUMBER	
			5e. TASK NUMBER	
			5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Massachusetts Institute of Technology, 77 Massachusetts Avenue, Room 5-206, Cambridge, MA, 02139			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)	
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited				
13. SUPPLEMENTARY NOTES code 1 only				
14. ABSTRACT This effort concerns the forward and back scattering from seamount and ocean ridge structure including i) the blockage due to seamounts; ii) the up/down slope issues for converting from shallow to deep water propagations and vice versa; iii) 3D effects caused by horizontal refraction				
15. SUBJECT TERMS				
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT Same as Report (SAR)	18. NUMBER OF PAGES 6
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	19a. NAME OF RESPONSIBLE PERSON	

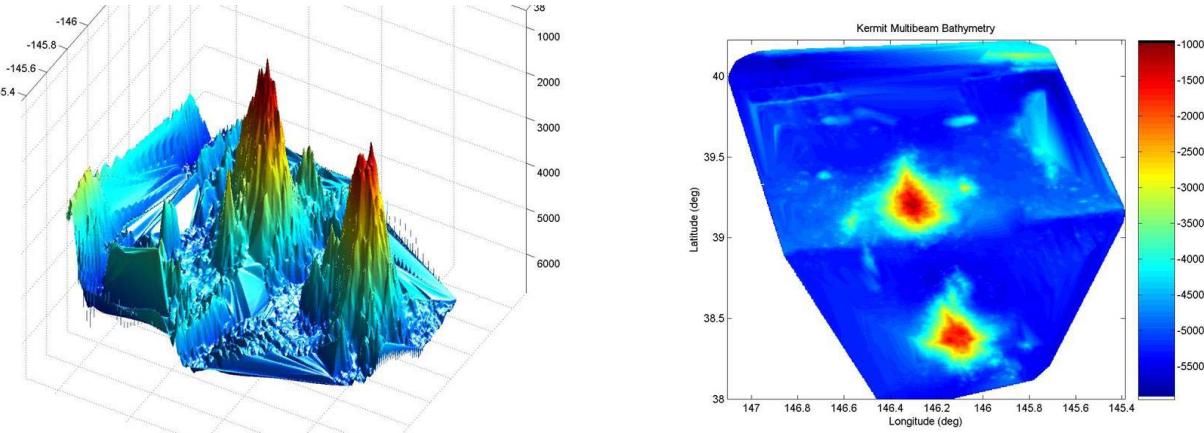


Figure 1: Isometric and contour plots of the Kermit-Roosevelt Seamount complex used for the forward scattering studies of the BASSEX Experiment. The seamounts are approximately 40 km at the base and shoal to 1000 m depth

source insonified the seamounts from several ranges as it transited from east to west. In addition the ATOC/NPAL source provided insonification from the northside of Kauai.

The data were taken on the ONR FORA (Four Octave Array maintained and staffed by Pennsylvania State University. The array for the BASSEX purposes had 192 sensors with a 32 wavelength length at 250 Hz. (The additional sensor beyond 64 are for nesting for coverage at higher frequencies. The array towed well; however, there were some data spikes resulting from the buffering logic because of the high sample rates used. In addition, complete multibeam coverage was acquired.

WORK COMPLETED

We have completed a preliminary analysis of the data from the BASSEX experiment which is described below. The results section will compare some data with theoretical predictions.

Figures 2 and 3 illustrate the forward scattering of the Kermit (northerly) seamount. Figure 2a on the left indicates the track plan of the *R/V Revelle* with the towed array. Note that there is ample coverage to near the behind the seamount. The right panel is formed by pulse compressing the M sequences and accumulating the energy (an incoherent summation) for all the scattered energy for a transmission from SPICEX source S1 approximately 500 km to the southeast. We can observe that there is strong shadowing directly behind the seamount, but more notable is the diffracted energy to both the northeasts and southwest of the seamount. In addition, there is an indication of some enhancement from Elvis (A shipboard surrogate for the southerly seamount coined by the CoPI, the resident shipboard musician.). In addition one can see the reestablishment of convergence zones behind the seamount with the rise in energy after a null. This is consistent with earlier theoretical modeling by Jensen, *et al.* (Jensen).

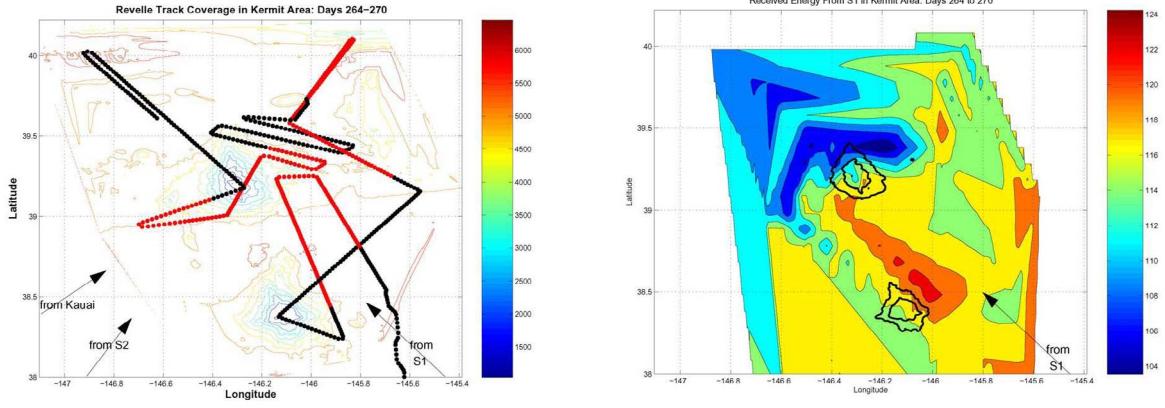


Figure 2a (left): The tracks of the R/V *Revelle* during the BASSEX experiment indicating the density of coverage for forward scattering. **Figure 2b (right):** An accumulation of the energy at the output of the pulse compression for all the transmission from on of the depth of a source at S1. Note the low levels behind and to the left and right of the seamount.

RESULTS

Figure 3 illustrates a theoretical prediction of the arrival structure for ranges from 0 to 1000 km at 20 km intervals. Each raster is a matched filter output predicted by the range dependent CSNAP program. Figure 3a on the left indicates the time series from a exception behind Kermit. Note that all the early and deep diving rays have been cut off. On the right side the predictions versus range from 20 to 100 km. At ranges before 500 km we observe the deep cycling rays which can rise to the array depth at 300 m. At the range of the seamount we can see the impact were these rays are scattered yet some energy propagates over the seamount. The analysis of predicting the exact shadowing using full 3D codes will be our objective this year.

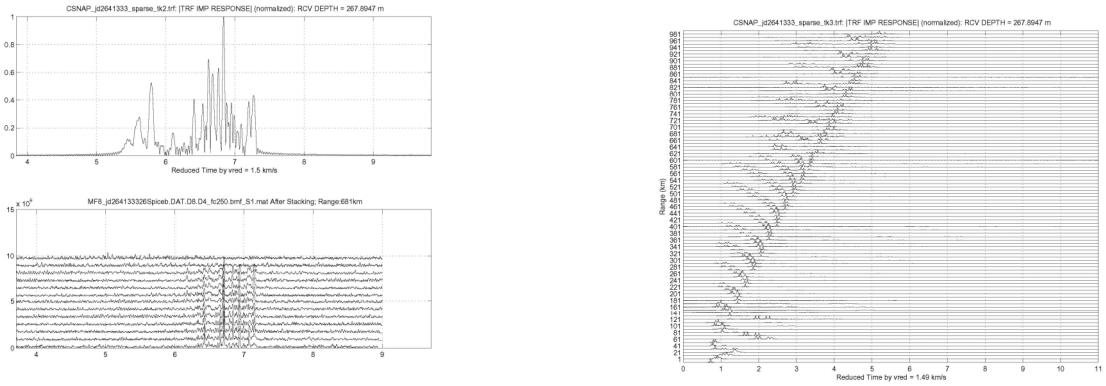


Figure 3a (left): Pulse compressed multi-channel data for a range just behind the Kermit seamount from an S1 source. **Figure 3b (right):** CSNAP prediction of the receptions for ranges from 20 to 1000 km at 20 km. We can note where the scattering from the seamount begins as well as the traditional deep cycling ray paths before the seamount. Travel time is the horizontal. Raster plots of reception are on the right.

IMPACT / APPLICATIONS

Understanding bottom interaction with seamounts and up slope / down slope propagation are subjects not very well covered with experimental results especially with regard to the issues of shadowing and horizontal refraction. These are among the first data with a towed array which can resolve direction of arrival which have been performed. We were fortunate to have the coded signals with precise propagation launch time and navigation such that quantitative directional analysis can be performed on experimental data and be compared to the evolving set of both modal and parabolic equation methods which include full 3D effects. Geologic features such as these are very common in the WESTPAC region.

RELATED PROJECTS

Matched Field Processing and Adaptive Processing in Snapshot Limited Environments, ONR Grant N00014-01-0257: This project concerns limits on adaptive array processing and statistical uncertainty for the performance of sonar systems.

Random Matrix Theory and Adaptive Array Processing, ONR Grant N00014-05-0806: This was funded by the head of the Environmental Sciences with a small grant to sponsor the two day SEA05 Workshop where the coupling between work by statisticians on random matrices and adaptive array processors was explored. It was by all accounts a very successful workshop and uncovered a very promising area for predicting the performance of adaptive processors.

Undersea Persistent Surveillance, Penn State Univ Award S05-06: This project is part of ONR's PLUS (Persistent Littoral Undersea Surveillance Network. This PI is part of the signal processing and acoustic communications efforts as well as the advisory panel..

(AREA) Adaptive Rapid Environmental Assessment, ONR Grant N0014-01-0187: This Grant with Henrik Schmidt and A. B. Baggeroer as PI's was part of the ONR Uncertainty DRI.

Submarine Superiority Technical Advisory Group, (SSTAG): This panel is sponsored by N775 to review and advise the USN on advances on submarine detection, classification, localization and tracking as well as special efforts as requested by N77.

Maritime Superiority Technical Advisory Group, (MSTAG) : N77 & SPAWAR sponsor this panel to provide advice on the construction and installation of fixed distributed systems.

Distributed Remote Surveillance Panel (DRS): This panel was commissioned by the CNO for the Naval Studies Board to review all efforts associated with distributed systems . The PI is CoChair of this NSB/Academy Panel

Kevin Heaney also participated on the BASSEX cruise and his analysis is focusing upon the upslope/down slope conversion based upon data taken from the Kauai source of the ATOC source north of Kauai.

REFERENCES

Jensen, F., Kuperman, W.A., Schmidt, and Porter, M., *Computational Ocean Acoustics*, AIP Press, Woobridge, NJ (1994)

PUBLICATIONS

Baggeroer, A. B. and Scheer, E.K. and the NPAL Group, “Statistics and vertical directionality of ambient noise at the NPAL site,” *J. of the Acoustical Society of America*, vol 117(3/2), pp. 1645 – 1645, (March 2005)

Colosi, J. and Baggeroer, A.B. “Analysis of multipath coherence for broadband, basin scale transmissions in the North Pacific,”: *J. of the Acoustical Society of America*, vol 117(3/2), pp. 1538 – 1564, (March 2005)

Colosi, J. and Baggeroer, A.B., “On the kinematics of broadband multipath and the approach to saturation,” *J. of the Acoustical Society of America*, vol. 116(6), pp. 3515-3522, (December 2004)

Xu, W., Baggeroer, A.B. and Richmond, C.D., “Bayesian bounds for Matched Field Processing (MFP) parameter estimation,” *IEEE Trans. On Signal Processing*, vol. 52(12), pp. 3293 -33-5, (December 2004)

HONORS/AWARDS/PRIZES

Rayleigh - Helmholtz Medal, Acoustical Society of America, Spring 2003 (not reported last year)

Packard Medal, Monterey Bay Aquarium Research Institute, Moss Landing, CA, March 2004